

Byung Kyu Kim  
Jung Hwan Shin

## Modification of waterborne polyurethane by forming latex interpenetrating polymer networks with acrylate rubber

Received: 6 November 2001  
Accepted: 1 February 2002  
Published online: 24 April 2002  
© Springer-Verlag 2002

B.K. Kim (✉)  
Department of Polymer Science and  
Engineering, Pusan National University,  
Busan 609-735, South Korea  
E-mail: bkkim@pnu.edu  
Fax: +82-51-5141726

J.H. Shin  
R&D Division, SK Evertech, Ulsan,  
South Korea

**Abstract** Polyurethane (PU)/poly (acrylic rubber) (PAR) latex interpenetrating polymer networks based on waterborne PUs were prepared by sequential polymerization with methyl acrylate, ethyl acrylate, or butyl acrylate. The effect of PU/ PAR composition and the cross-linking density in the PAR domain as well as in the PU domain has been studied in terms of density, mechanical, and dynamic mechanical properties in addition to the drying rate and the contact angle of the dispersion cast film with water. A high degree of interpenetrations was

verified by mechanical properties showing positive deviation with a maximum from the additivity. In addition, incorporation with acrylate polymers having low glass-transition temperatures effectively augmented the hydrophobicity without sacrificing the elasticity of the original PUs. In addition, the acrylate monomers were polymerized by UV irradiation to significantly reduce the reaction time.

**Keywords** Waterborne polyurethane · Latex interpenetrating polymer network · Modification

### Introduction

Waterborne polyurethanes (PUs) can be formulated with little or no solvent, and hence they are nontoxic, nonflammable, and do not pollute the air. Such environmental advantages coupled with increasing solvent price have steadily expanded their usages in a number of applications in textile coatings, fiber sizings, and adhesives of many polymeric and glassy surfaces [1, 2, 3].

However, owing mainly to the dispersive nature of the ionic centers of waterborne PUs, certain properties, including water resistance, solvent resistance, etc., are generally inferior to those of solvent-borne PUs, and these problems should be overcome. A number of methods to modify the waterborne PUs have been suggested and some of them have been put into practice [4, 5, 6, 7].

In this study, modification of waterborne PUs by forming latex interpenetrating polymer networks (LIPN) with vinyl monomers was considered. Methyl

acrylate (MA), ethyl acrylate (EA), or butyl acrylate (BA) monomers were incorporated with PUs in dispersions via IPN structure, and the PU/poly(acrylic rubber) (PAR) hybrids were studied in terms of the particle size of the dispersion, mechanical and dynamic mechanical properties of the cast films. In addition, the density was measured to understand the specific synergy effect induced by restricted phase separation [8, 9], and the contact angle and the drying rate for the increased hydrophobicity. A high degree of interpenetration was verified by mechanical properties showing positive deviation with a maximum from the additivity. This suggested that an optimum degree of interpenetration might exist for optimum mechanical reinforcement [9, 10]. In addition, hybridization with acrylate polymers having low glass-transition temperatures effectively augmented the hydrophobicity without sacrificing the elasticity of the original PUs. In addition, the acrylate monomers were polymerized by UV irradiation to significantly reduce the reaction time.

## Experimental

### Materials

Extra pure grade isophorone diisocyanate (IPDI) and dibutyltin dilaurate (DBTDL) were used as received. Dimethylol propionic acid (DMPA, Aldrich) and 1-hydroxy cyclohexylphenylketone (HCPK, Aldrich) were purified by recrystallization and dried at room temperature for 48 h in a vacuum oven. Poly(tetramethylene adipate) glycol (PTAd,  $M_n=2,000$ , Aldrich) and 1,4-butane diol (1,4-BD, Aldrich) were dried for 5 h at 80 °C under vacuum before use. Extra pure grades of triethylamine (TEA), triethylene tetramine (TETA), and dimethylformamide were dried over 3-Å molecular sieves before use. Acrylate monomers (MA, EA, BA) and cross-linking agent (ethylene glycol dimethacrylate, EGDMA, Aldrich) were purified and dried by fractional distillation and stored under an inert atmosphere.

### Synthesis

The formulations of PU/PAR LIPNs based on waterborne PUs are given in Tables 1 and 2 and the overall reaction scheme is shown in Scheme 1. A 500-ml four-neck, round-bottom separable flask equipped with mechanical stirrer, thermometer, and condenser with a drying tube and N<sub>2</sub> inlet was used as a reactor. First, waterborne PUs having prepolymer molecular weights,  $M_p$ , of 3,000, 4,000, and 5,000 were synthesized by prepolymer mixing process (Table 1). IPDI, 1,4-BD, DMPA (3.5 wt% based on base PUs), PTAd and DBTDL (0.03 wt% based on base PUs) were mixed and reacted at 80 °C to obtain NCO-terminated prepolymer. The change in the NCO value during the reaction was determined using a standard dibutylamine back-titration method [11]. Then, the NCO-terminated prepolymer was cooled to 60 °C, and TEA was added to neutralize the ionic centers. PU seed latex was obtained by adding water to the neutralized NCO-terminated prepolymer, followed by chain extension with TETA.

**Table 1.** Formulation of polyurethanes (PUs)

$M_p$	Isophorone diisocyanate	Poly(tetramethylene adipate) glycol	Dimethylol propionic acid	1,4-Butane diol	Triethylamine	Triethylene tetramine	H <sub>2</sub> O
3,000	21.57	70	3.5	0	2.64	2.29	300
4,000	21.47	70	3.5	0.66	2.64	1.73	300
5,000	21.42	70	3.5	1.05	2.64	1.39	300

Numbers designate weight in grams

**Table 2.** Formulation of PU/poly(acrylic rubber) (PAR) latex interpenetrating polymer networks (LIPNs)

Compositional variation ( $M_p=3,000$ , 1 mol% ethylene glycol dimethacrylate)

Composition (by weight)	PU	MA	Ethylene glycol dimethacrylate	H <sub>2</sub> O
100/0	10	—	—	30
90/10	9	0.977	0.023	30
80/20	8	1.955	0.045	30
70/30	7	2.932	0.068	30
60/40	6	3.910	0.090	30
50/50	5	4.887	0.113	30
40/60	4	5.865	0.135	30
30/70	3	6.842	0.158	30
0/100	—	9.775	0.225	30

Cross-linking density of PU [1 mol% ethylene glycol dimethacrylate, PU/poly(methyl acrylate) 50/50]

$M_p$ of PU	PU	Methyl acrylate	Ethylene glycol dimethacrylate	H <sub>2</sub> O
3,000	5	0.4887	0.113	30
4,000	5	0.4887	0.113	30
5,000	5	0.4887	0.113	30

Cross-linking density of poly(methyl acrylate) ( $M_p=3,000$ , PU/poly(methyl acrylate) 50/50)

Ethylene glycol dimethacrylate (mol%)	PU	Methyl acrylate	Ethylene glycol dimethacrylate	H <sub>2</sub> O
0	5	5.000	—	30
0.5	5	4.943	0.057	30
1	5	4.887	0.113	30
1.5	5	4.833	0.167	30
2	5	4.780	0.220	30

Type of acrylate rubber ( $M_p=3,000$ , 1 mol% ethylene glycol dimethacrylate, PU/PAR 50/50)

Type	PU	Acrylate	Ethylene glycol dimethacrylate	H <sub>2</sub> O
Poly(methyl acrylate)	5	4.887	0.113	30
Poly(ethyl acrylate)	5	4.887	0.113	30
Poly(butyl acrylate)	5	4.887	0.113	30

Numbers designate weight in grams

LIPNs were prepared by a sequential and two-stage polymerization method [9, 12]. A mixture of monomer, cross-linking agent (when applied), and HCPK (0.5 wt% of base monomers) was added to the seed latex with the formulation shown in Table 2. Then, polymerization was carried out by UV irradiation at room temperature until over 90% conversion was achieved (Scheme 2). The resulting product was a stable emulsion with a solid content of about 20%.

#### Characterizations

The particle size of the LIPNs was measured by a light scattering method (Brookhaven BI-2000SM) at room temperature.

LIPN films were prepared by casting the emulsion on a Teflon plate, followed by drying at 60 °C for 24 h and at 80 °C for 48 h. To measure the rate of drying, the original weight of water,  $W_0$ , in

the LIPN emulsion was measured as a function of the drying time during the film casting according to

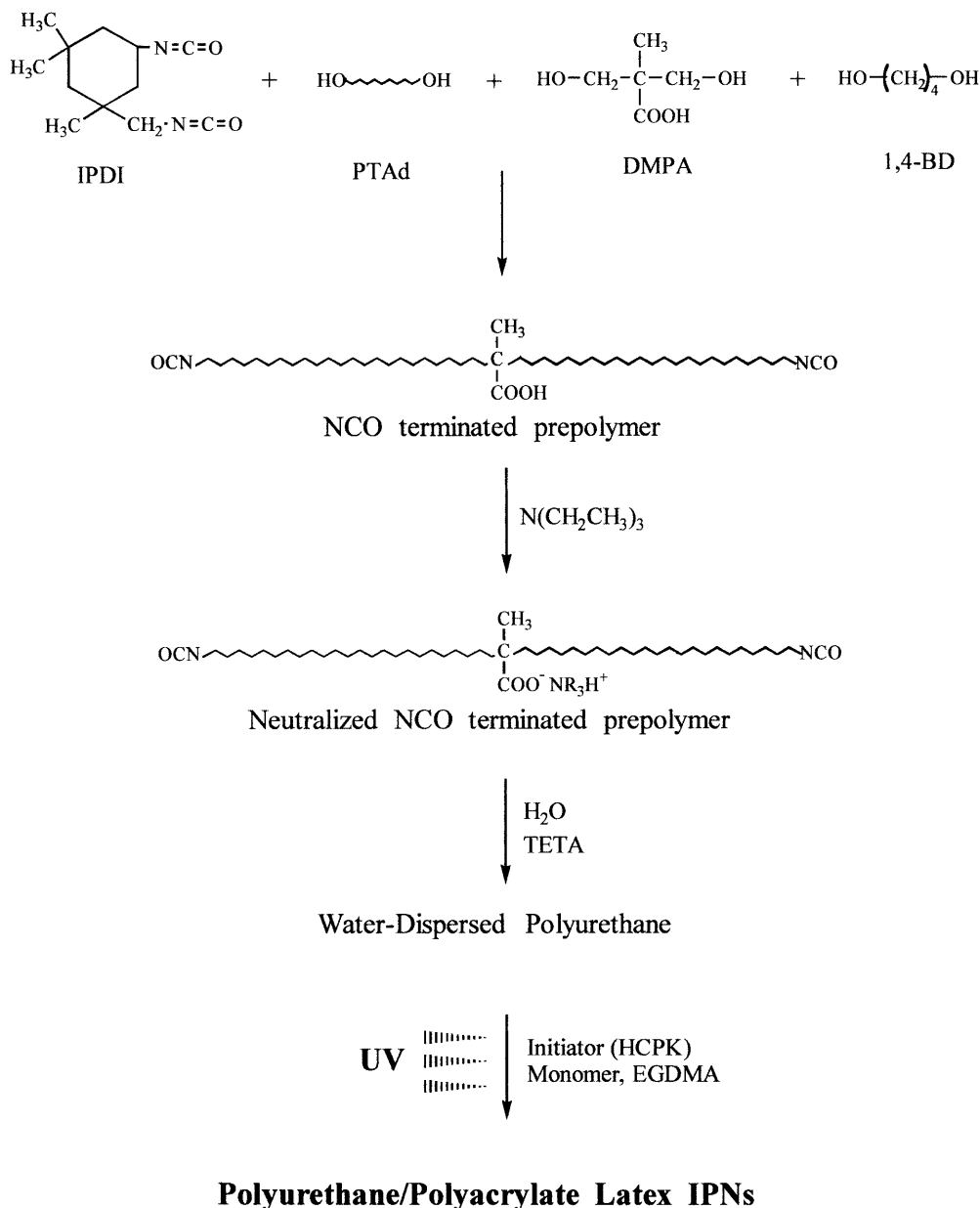
$$\text{Water content (\%)} = \frac{W_0 - W}{W_0},$$

where  $W$  is the weight of dried water in LIPN emulsion.

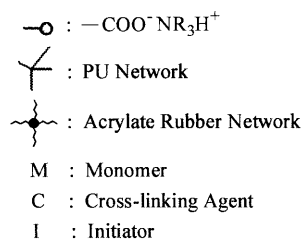
The contact angle of the film with a water drop was measured using an Erma G-1 instrument at room temperature.

Tensile properties of PU/PAR LIPN cast films were measured using a Tinius Olsen tensile tester at a crosshead speed of 200 mm/min, and an average of at least five measurements was used for the analysis. The density was measured using a density gradient column with sodium bromide aqueous solution at 25 °C [11]. Dynamic mechanical properties of the LIPN cast films were measured with a DMTA (Rheometrics MK3E) from -100 to 150 °C at 11 Hz and a strain of 0.05 with a sample size of 0.5×5×8 mm.

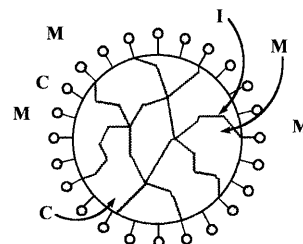
**Scheme 1.** Overall reaction scheme of polyurethane (PU)/poly(acrylic rubber) (PAR) latex interpenetrating polymer networks (LIPNs)



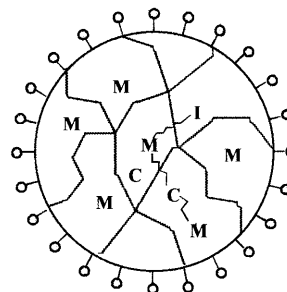
**Scheme 2.** Schematic representation of the synthesis of PU/ PAR LIPNs



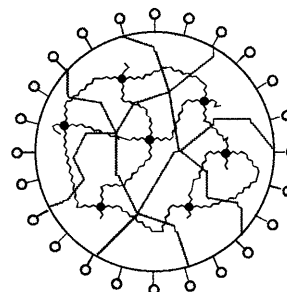
Step 1 : Swell of PU Particle by Acrylate Monomers (Diffusion)



Step 2 : Photoinitiation & Polymerization within the Particle



Step 3 : Formation of Latex Interpenetrating Polymer Network Structure



## Results and discussion

### Effect of LIPN compositions

This series of PU/poly(methyl acrylate) (PMA) LIPNs was prepared with PMA as the second polymer ( $M_p = 3,000$ , EGDMA 1 mol%). It is seen that particle size increases with increasing PMA content (Table 3). This implies that acrylate monomers are polymerized in PU particles, augmenting the particle mass. In addition, the decreased hydrophilicity due to the decreased average ionic content per particle ( $3.5 \rightarrow 1.05$  wt%) should result in an increase in the particle size. At high PMA content, particle instability due to insufficient surface ionic content should also contribute to the increased particle size.

Figure 1 shows that the water content of PU/PMA LIPN cast films at a given time decreases remarkably as

the PMA content increases. This is mainly due to the hydrophobic nature of PMA compared with PU evidenced from the contact-angle measurements (Table 3) [7].

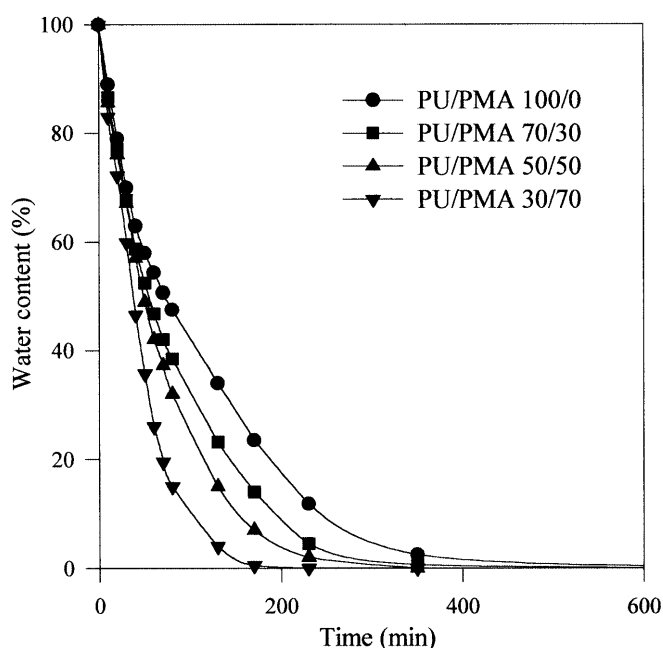
The tensile strength, the elongation at break and the density (Fig. 2) of PU/PMA LIPN cast films show positive deviations from additivity with a maximum which is a specific behavior of the bulk properties for IPN [8, 9]. This is due to physical interlockings and permanent entanglements introduced by the interpenetrating structure between the PU and PMA networks. The maximum appearing at a PU/PMA composition of around 50/50 implies that the maximum degree of interpenetration is formed at this composition. The well-balanced network structure at this composition should also contribute to the observed synergy. It seems that PU particles are saturated at around this composition beyond which not all of the MA monomers are polymerized in the core of PU shells.

**Table 3.** Density, contact angle, and particle size of PU/PAR LIPN cast films. The particle size of waterborne PU is given in *parentheses*

Composition	$M_p$ of PU	Ethylene glycol dimethacrylate (mol%)	Type of acrylate	Density (g/cm <sup>3</sup> )	Contact angle (degrees)	Particle size (nm)
100/0	3,000	1	Poly(methyl acrylate)	1.1580	67	117.9
90/10				1.1603	70	120.5
80/20				1.1619	71	122.8
70/30				1.1756	73	127.2
60/40				1.1813	74	135.0
50/50				1.1815	76	146.0
40/60				1.1798	79	155.6
30/70				1.1777	80	169.0
0/100				1.1787	82	—
50/50	3,000	1	Poly(methyl acrylate)	1.1815	76	146.0 (117.9)
	4,000			1.1801	76	117.6 (86.8)
	5,000			1.1734	77	112.6 (92.2)
50/50	3,000	0	Poly(methyl acrylate)	1.1707	77	171.5
		0.5		1.1783	76	153.9
		1		1.1815	76	146.0
		1.5		1.1823	75	143.9
		2		1.1856	75	140.7
50/50	3,000	1	Poly(methyl acrylate)	1.1815	76	146.0
			Poly(ethyl acrylate)	1.1291	83	158.0
			Poly(butyl acrylate)	1.0933	88	226.1

Figure 3 shows the  $\tan\delta$  of the LIPN cast films. PU shows one peak around  $-30^\circ\text{C}$  corresponding to the glass-transition temperature,  $T_g$  of the soft segment.  $T_g$  of PMA is seen around  $30^\circ\text{C}$ . PU/PMA LIPNs again show single peak between the two, implying that PU and

PMA chains are highly interpenetrated and hence phase separations are suppressed to such an extent that a simple dynamic stimulus cannot isolate their respective responses. However, the broadening of the peak for LIPNs shows that there is a broad distribution of relaxation mechanisms, indicative of a certain degree of microphase separation between PU and PMA.



**Fig. 1.** Drying rate versus poly(methyl acrylate) (PMA) content of polyurethane (PU)/PMA latex interpenetrating polymer networks (LIPNs) ( $M_p$  = 3,000, 1 mol% ethylene glycol dimethacrylate, EGDMA)

#### Effect of cross-linking density

This series of PU/PMA LIPNs was prepared at a specific composition, PU/PMA = 50/50 to utilize the maximum synergy. Table 3 shows that the particle size decreases with increasing  $M_p$  of PU, due probably to the high chain flexibility of high  $M_p$  [13, 14], evidenced from the decreased  $T_g$  of PU. The particle size again decreases with increasing EGDMA content (Table 3), due probably to the decreased swelling of water into the particle, since water swelling is decreased with increasing cross-linking density. The hydrophilicity of EGDMA containing two ester groups should also contribute to make the particle small, also giving rise to a smaller contact angle (Table 3).

The stress-strain behavior of PU/PMA LIPNs as a function of cross-linking density of each constituent is shown in Figs. 4 and 5. As expected, the modulus and strength increase and elongation at break decreases as the cross-linking density of each component increases. Density measurements (Table 3) show the increase in the modulus and strength with increasing cross-linking density of each phase. That is, the increase in the cross-linking density in each component gave an

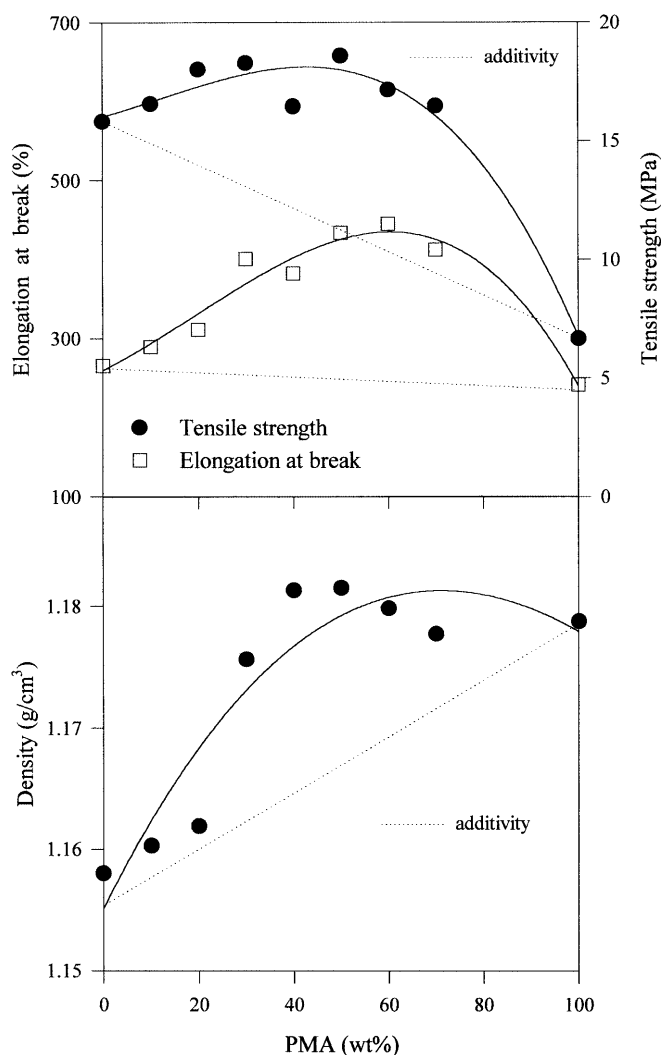


Fig. 2. Tensile strength and elongation at break versus PMA content of PU/PMA LIPNs ( $M_p = 3000$ , 1 mol% EGDMA)

increased bulk density of the LIPN cast films, and this augmented the modulus and strength.

Figure 6 shows  $\tan\delta$  as a function of  $M_p$  of PU. Regardless of the  $M_p$ , a single  $\tan\delta$  peak is obtained, also implying that PU and PMA chains are sufficiently interlocked to behave as a single network. In addition the peak temperature slightly increases with decreasing  $M_p$  of PU [15, 16] due mainly to the increased chain rigidity which on the other hand is due to the increased cross-linking density since the prepolymer is chain-extended with tetrafunctional amine (TETA). On the other hand, as the EGDMA content increases (Fig. 7), the peak temperature decreases and this can be interpreted as due to the enhanced molecular mixing by the increased cross-linking density of the PMA phase. It seems that the glass-transition temperature of PU/PMA LIPNs can be controlled to some extent by the variation of the cross-linking density of each constituent.

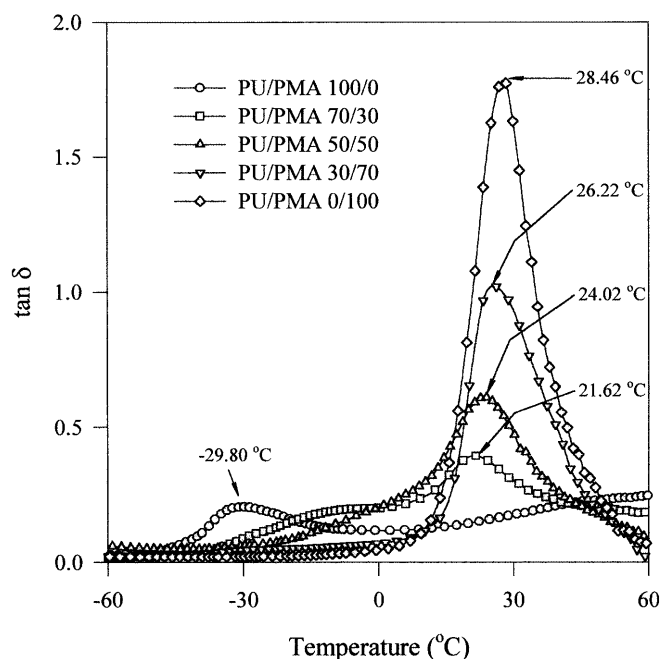


Fig. 3.  $\tan\delta$  versus PMA content of PU/PMA LIPNs ( $M_p = 3,000$ , 1 mol% EGDMA)

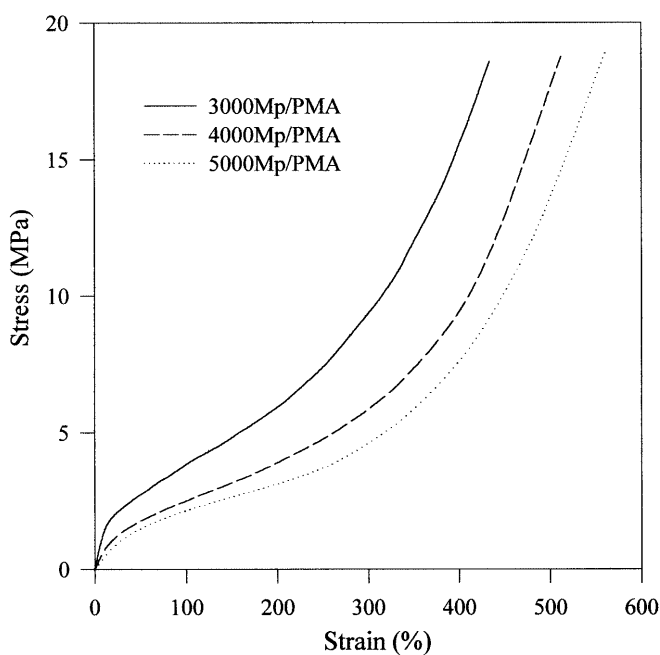


Fig. 4. Tensile behavior versus PU cross-linking density of PU/PMA LIPNs (1 mol% EGDMA, PU/PMA 50/50)

#### Effect of type of acrylate

This series of PU/PMA LIPNs was prepared at a fixed IPN composition (PU/PAR 50/50) and cross-linking density ( $M_p = 3000$ , EGDMA 1 mol%). Three types of

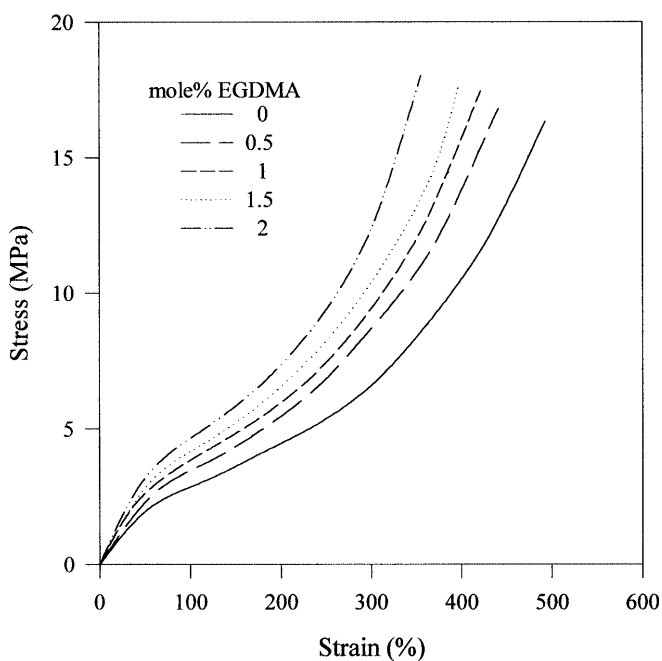


Fig. 5. Tensile behavior versus PMA cross-linking density of PU/PMA LIPNs ( $M_p = 3,000$ , PU/PMA 50/50)

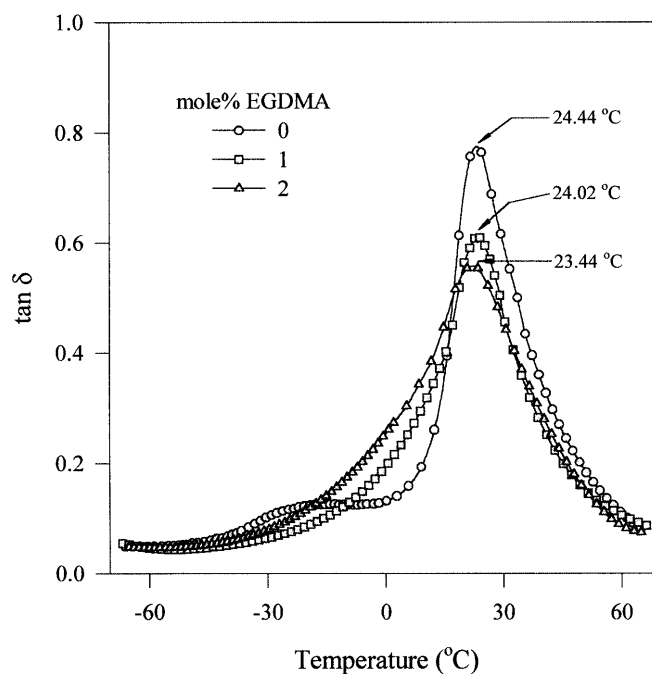


Fig. 7.  $\tan \delta$  versus PMA cross-linking density of PU/PMA LIPNs ( $M_p = 3,000$ , PU/PMA 50/50)

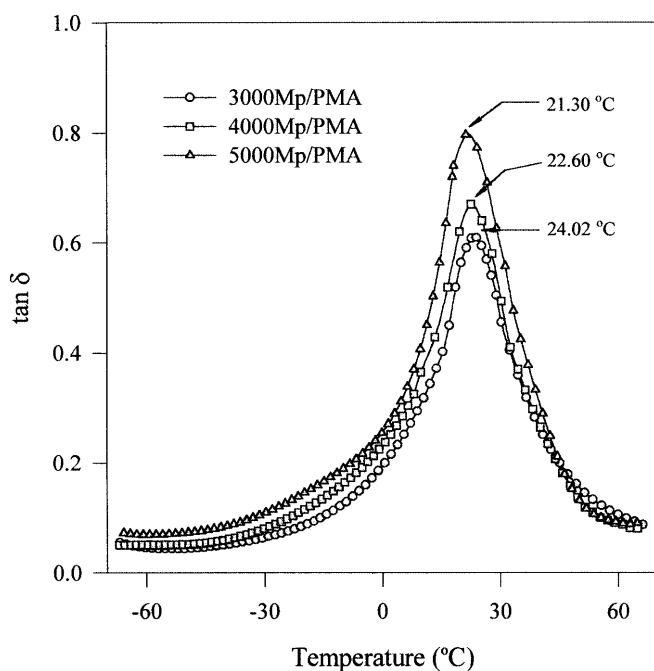


Fig. 6.  $\tan \delta$  versus PU cross-linking density of PU/PMA LIPNs (1 mol% EGDMA, PU/PMA 50/50)

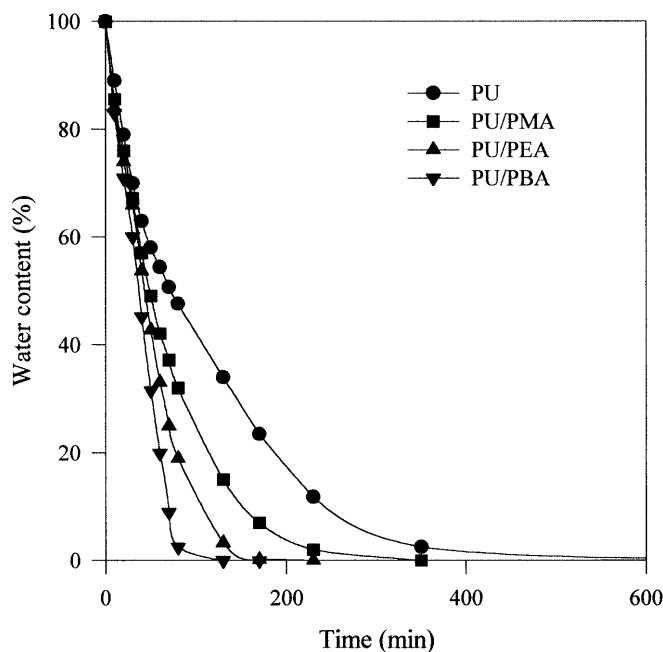
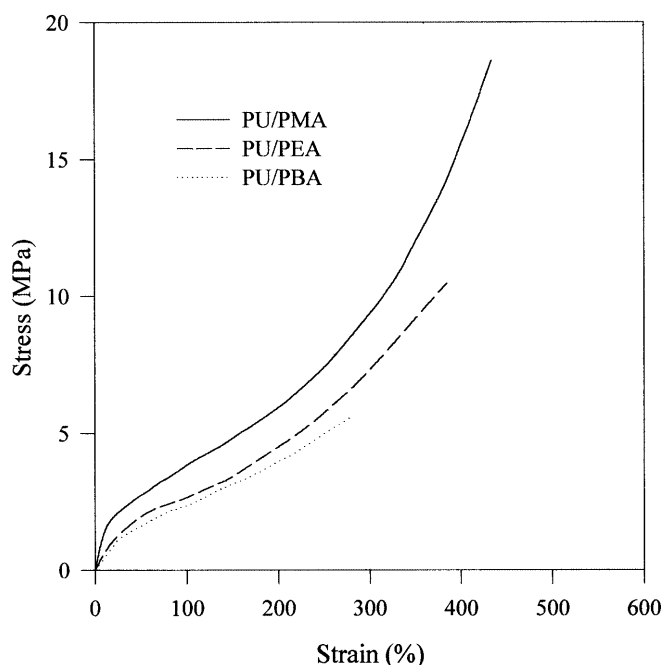


Fig. 8. Drying rate versus type of acrylate of PU/PAR LIPNs ( $M_p = 3,000$ , 1 mol%, EGDMA, PU/PAR 50/50)

monofunctional acrylic rubber (PMA, PEA, PBA) were incorporated. Table 3 shows that particle size increases with increasing side-chain length, viz. PMA < PEA < PBA. The drying rate (Fig. 8) and the contact

angle (Table 3) also increase with increasing side-chain length. The hydrophobic nature of the alkyl side chain should add hydrophobicity to PU/PAR LIPNs, leading to the results reported.



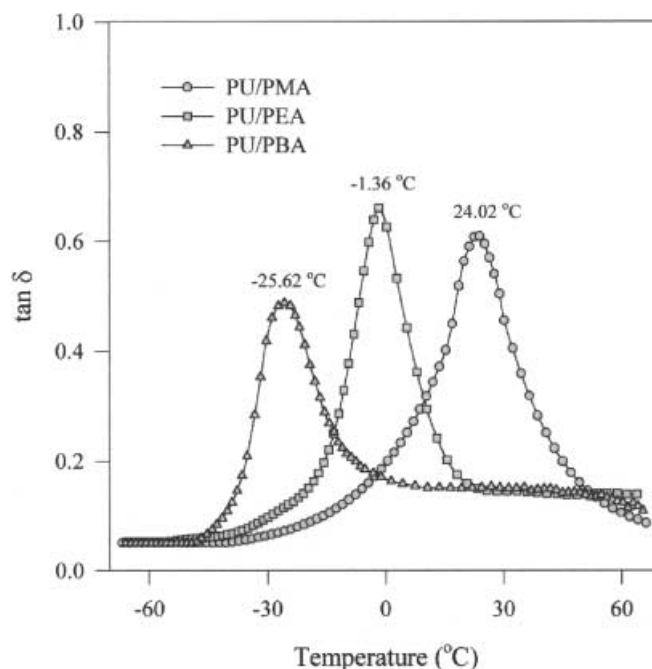
**Fig. 9.** Tensile behavior versus type of acrylate of PU/PAR LIPNs ( $M_p=3,000$ , 1 mol% EGDMA, PU/PAR 50/50)

Figure 9 and Table 3 show that the tensile modulus, strength, and densities of LIPN cast films decrease with increasing side-chain length, due mainly to the poor main-chain packing with longer pendant groups, together with the plasticizer effect of the alkyl side chain [17, 18, 19].

The effects of the type of acrylate on the dynamic mechanical properties of PU/PAR LIPN cast films are shown in Fig. 10. Regardless of the type of acrylate, LIPNs show single  $\tan\delta$  peak at  $-25.62$ ,  $-1.36$ , and  $24.02$  °C, each approximately corresponding to the  $T_g$  of the acrylate homopolymers [17, 18, 19]. These results imply that the degree of molecular mixing is sufficient for all types of acrylate to restrict phase separation between the constituent polymers.

## Conclusions

PU/PMA LIPNs show single  $T_g$  regardless of the prepolymer molecular weight of PU and the EGDMA content. This implies that PU and PMA chains are



**Fig. 10.**  $\tan\delta$  versus type of acrylate of PU/PAR LIPNs ( $M_p=3,000$ , 1 mol% EGDMA, PU/PAR 50/50)

sufficiently interlocked to behave as a single network. However, the effect of the cross-linking density on the dynamic behavior seems to be opposite. That is, an increased cross-linking density of PU (decreased  $M_p$ ) gave higher  $T_g$ , whereas increased cross-linking density of PMA (increased EGDMA content) gave lower  $T_g$ . It seems that cross-linkings of PU provide the IPNs with rigidity and those of PMA closely interlock the PU chains with PMA. Maximum synergy effects obtained at around 50/50 (PU/PMA) in terms of density and mechanical property should indicate that the maximum degree of interpenetration is obtained at this composition, which on the other hand is due to the proper saturation of PU with PMA, leading to an ideal network structure to give maximum synergy.

We found that the properties of PUs can be greatly modified by forming LIPNs with various types of acrylate, composition, and cross-linking density of PU as well as polyacrylates.

**Acknowledgements** This research was supported by the Korea Science and Engineering Foundation via the Hyperstructured Organic Materials Research Center.

## References

1. Lamba NMK, Woodhouse KA, Cooper SL (1998) Polyurethane in biomedical applications. CRC, Boca Raton
2. Zeno W, Frank JN, Pappas SP (1999) Organic coatings – science and technology. Wiley-Interscience, New York
3. Petrie EM (2000) Handbook of adhesives and sealants. McGraw-Hill, New York



- 
4. Hourston DJ, Zia YJ (1993) *J Appl Polym Sci* 28:2139
  5. Kim BK, Lee KH (1996) *J Polym Sci Polym Chem* 34:2095
  6. Kim BK, Lee JC (1995) *J Appl Polym Sci* 58:1117
  7. Oh IS, Park NH, Suh KD (2000) *J Appl Polym Sci* 75:968
  8. Sperling LH (1981) *Interpenetrating polymer networks and related materials*. Plenum, New York
  9. Kroschwitz JI (1987) *Encyclopedia of polymer science and engineering*, vol 8.
  10. David DJ, Staley HB (1969) *Analytical chemistry of polyurethanes, high polymer series*, vol XVI, part III. Wiley-Interscience, New York
  11. Rabek JF (1980) *Experimental methods in polymer chemistry, physical principles and applications*. Wiley-Interscience, Chichester
  12. Klemperer D, Sperling LH, Utracki LA (1991) *Interpenetrating polymer networks*. *Advances in chemistry series* 239. American Chemical Society, Washington, DC
  13. Dieterich D (1981) *Prog Org Coat* 9:281
  14. Kim BK, Lee JC (1996) *Polymer* 37:469
  15. Brydson JA (1988) *Rubbery materials and their compounds*. Elsevier, London
  16. Kim BK, Lee JC (1996) *J Polym Sci Polym Chem* 34:1095
  17. Mark JE (1999) *Polymer data handbook*. Oxford University Press, New York
  18. Salamone CJ (1996) *Polymeric materials encyclopedia vol I*. CRC, New York
  19. Charrier JM (1991) *Polymeric materials and processing*. Hanser, Munich